

State of Rhode Island and Providence Plantations
Department of Administration
Division of Purchases

RIVIP BIDDER CERTIFICATION COVER FORM

SECTION 1 - BIDDER INFORMATION

Bidder must be registered as a vendor on the RIVIP system at www.purchasing.ri.gov to submit a bid proposal.

Solicitation Number: 7551409A1
Solicitation Title: ASPHALT PAVING MAINTENANCE AND REPAIR - MPA #381 (1 PG & ZIP)

**Bid Proposal Submission
Deadline Date & Time:** 4/3/2017 10:00 AM

RIVIP Vendor ID #: 71281
Bidder Name: RICON Construction
Address: PO Box 8137
Cranston , RI 02920
USA

Telephone: 401-861-1162
Fax: 401-397-9900
Contact Name: James Hathaway
Contact Title: President
Contact Email: jhathawayjr@gmail.com

SECTION 2 - DISCLOSURES

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No) for Disclosures 1-4, and if "Yes," provide details below

- N** 1. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been subject to suspension or debarment by any federal, state, or municipal governmental authority, or the subject of criminal prosecution, or convicted of a criminal offense within the previous 5 years. If "Yes," provide details below.
- N** 2. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has had any contracts with a federal, state, or municipal governmental authority terminated for any reason within the previous 5 years. If "Yes," provide details below.
- N** 3. State whether the Bidder, or any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder or any parent, subsidiary, or affiliate has been fined more than \$5000 for violation(s) of any Rhode Island environmental law(s) by the Rhode Island Department of Environmental Management within the previous 5 years. If "Yes," provide details below.
- N** 4. State whether any officer, director, manager, stockholder, member, partner, or other owner or principal of the Bidder is serving or has served within the past two calendar years as either an appointed or elected official of any state governmental authority or quasi-public

corporation, including without limitation, any entity created as a legislative body or public or state agency by the general assembly or constitution of this state.

Disclosure details (continue on additional sheet if necessary):

SECTION 3 - OWNERSHIP DISCLOSURE

Bidders must provide all relevant information. Bid proposals submitted without a complete response may be deemed nonresponsive.

If the Bidder is publicly held, the Bidder may provide owner information about only those stockholders, members, partners, or other owners that hold at least 10% of the record or beneficial equity interests of the Bidder; otherwise, complete ownership disclosure is required.

List each officer, director, manager, stockholder, member, partner, or other owner or principle of the Bidder, and each intermediate parent company and the ultimate parent company of the Bidder. For each individual, provide his or her name, business address, principal occupation, position with the Bidder, and the percentage of ownership, if any, he or she holds in the Bidder, and each intermediate parent company and the ultimate parent company of the bidder.

SECTION 4 - CERTIFICATIONS

Bidders must respond to every statement. Bid proposals submitted without a complete response may be deemed nonresponsive.

Indicate "Y" (Yes) or "N" (No), and if "No," provide details below.

THE BIDDER CERTIFIES THAT:

- Y 1. The Bidder will immediately disclose, in writing, to the State Purchasing Agent any potential conflict of interest which may occur during the term of any contract awarded pursuant to this solicitation.
- Y 2. The Bidder possesses all licenses and anyone who will perform any work will possess all licenses required by applicable federal, state, and local law necessary to perform the requirements of any contract awarded pursuant to this solicitation and will maintain all required licenses during the term of any contract awarded pursuant to this solicitation. In the event that any required license shall lapse or be restricted or suspended, the Bidder shall immediately notify the State Purchasing Agent in writing.
3. The Bidder will maintain all required insurance during the term of any contract pursuant to this solicitation. In the event that any required insurance shall lapse or be canceled, the Bidder will immediately notify the State Purchasing Agent in writing.
- Y 4. The Bidder understands that falsification of any information in this bid proposal or failure to notify the State Purchasing Agent of any changes in any disclosures or certifications in this Bidder Certification may be grounds for suspension, debarment, and/or prosecution for fraud.
- Y 5. The Bidder has not paid and will not pay any bonus, commission, fee, gratuity, or other remuneration to any employee or official of the State of Rhode Island or any subdivision of the State of Rhode Island or other governmental authority for the purpose of obtaining an award of a contract pursuant to this solicitation. The Bidder further certifies that no bonus, commission, fee, gratuity, or other

remuneration has been or will be received from any third party or paid to any third party contingent on the award of a contract pursuant to this solicitation.

- Y** 6. This bid proposal is not a collusive bid proposal. Neither the Bidder, nor any of its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents has in any way colluded, conspired, or agreed, directly or indirectly, with any other bidder or person to submit a collusive bid proposal in response to the solicitation or to refrain from submitting a bid proposal in response to the solicitation, or has in any manner, directly or indirectly, sought by agreement or collusion or other communication with any other bidder or person to fix the price or prices in the bid proposal or the bid proposal of any other bidder, or to fix any overhead, profit, or cost component of the bid price in the bid proposal or the bid proposal of any other bidder, or to secure through any collusion, conspiracy, or unlawful agreement any advantage against the State of Rhode Island or any person with an interest in the contract awarded pursuant to this solicitation. The bid price in the bid proposal is fair and proper and is not tainted by any collusion, conspiracy, or unlawful agreement on the part of the Bidder, its owners, stockholders, members, partners, principals, directors, managers, officers, employees, or agents.
- Y** 7. The Bidder: (i) is not identified on the General Treasurer's list created pursuant to R.I. Gen. Laws § 37-2.5-3 as a person or entity engaging in investment activities in Iran described in § 37-2.5-2(b); and (ii) is not engaging in any such investment activities in Iran.
- Y** 8. The Bidder will comply with all of the laws that are incorporated into and/or applicable to any contract with the State of Rhode Island.

Certification details (continue on additional sheet if necessary):

Submission by the Bidder of a bid proposal pursuant to this solicitation constitutes an offer to contract with the State of Rhode Island through the Division of Purchases on the terms and conditions contained in this solicitation and the bid proposal. The Bidder certifies that: (1) the Bidder has reviewed this solicitation and agrees to comply with its terms and conditions; (2) the bid proposal is based on this solicitation; and (3) the information submitted in the bid proposal (including this Bidder Certification Cover Form) is accurate and complete. The Bidder acknowledges that the terms and conditions of this solicitation and the bid proposal will be incorporated into any contract awarded to the Bidder pursuant to this solicitation and the bid proposal. The person signing below represents, under penalty of perjury, that he or she is fully informed regarding the preparation and contents of this bid proposal and has been duly authorized to execute and submit this bid proposal on behalf of the Bidder.

BIDDER

Date: April 3, 2017

RICON Construction, LLC.

Name of Bidder

Signature in ink

James Hathaway / President

Printed name and title of person signing on behalf of Bidder



State of Rhode Island
Department of Administration / Division of Purchases
One Capitol Hill, Providence, Rhode Island 02908-5855
Tel: (401) 574-8100 Fax: (401) 574-8387

ADDENDUM # 1


3/28/17

Solicitation #7551409

***Title: Asphalt Paving Maintenance and Repair – Master Price Agreement
381***

Submission Deadline: April 3, 2017 @ 10:00 am

Per the issuance of ADDENDUM #1 the following are noted:

 Revised Price sheet (see attached)

Prospective bidders and all concerned are hereby advised of the attached changes/modifications for the above referenced RFQ and are hereby requested to change their copies accordingly.

Bidders must include a signed copy of this addendum with their proposals submission as acknowledgement. **Bidders MUST use Revised Pricing Sheet when submitting proposals.**

RICON Construction, LLC.

Company Name (print)

A handwritten signature in blue ink, likely belonging to the authorized representative of RICON Construction, LLC.

Signature of Authorized Representative

Interested Parties should monitor this website on a regular basis, for any additional information that may be posted.

**Gary P. Mosca
Chief Buyer**

Solicitation #7551409 - MPA 381 Asphalt Paving and Maintenance Repair

Bid Pricing Sheet. Vendors must submit pricing on this form and include with proposal.

Revised Pricing Sheet per Addendum #1

Descriptions

SF = Square Foot

CY= Cubic Yard

> = Greater Than

LF = Linier Foot

Initial Award

Option Year -1

Option Year - 2

Insert Vendor Name submitting proposal in this Section

5/1/17 -
4/30/18

5/1/18 -
4/30/19

5/1/20 -
4/30/20

Line	Unit	Unit of Measure / Range	Price per Measure Unit	Price per Measure Unit	Price per Measure Unit
1	SF	1-500 SF	3.25	3.35	3.45
2	SF	501-1000 SF	3.25	3.35	3.45
3	SF	>1000 SF	3.25	3.35	3.45
4	CY	1-500 CY	53	54.6	56.25
5	CY	501-1000CY	53	54.6	56.25
6	CY	>1000CY	53	54.6	56.25
7	CY	1-500 CY	26.5	27.3	28.1
8	CY	501-1000 CY	26.5	26.3	27.1
9	CY	>1000CY	26.75	27.5	28.3
10	ton	1-500 Ton	160	165	170
11	ton	501-1000 Ton	160	165	170
12	ton	>1000CY Ton	160	165	170
13	CY	1-500 CY	42.5	43.75	45

14	Furnish screened loam, grade, seed and fertilize, including any/all related equipment nad labor costs per cubic yard	CY	501-1000 CY	43	44.25	45.6
15	Furnish screened loam, grade, seed and fertilize, including any/all related equipment nad labor costs per cubic yard	CY	>1000 CY	43.5	44.75	46.1
16	Berm, installed, per linear foot. NOTE: This price should include labor and equipment only, exclusive of the cost of the bituminous material which will be priced separately under the material section	LF	1-500 LF	9	9.5	9.9
17	Berm, installed, per linear foot. NOTE: This price should include labor and equipment only, exclusive of the cost of the bituminous material which will be priced separately under the material section	LF	501-1000 LF	7.5	8	8.4
18	Berm, installed, per linear foot. NOTE: This price should include labor and equipment only, exclusive of the cost of the bituminous material which will be priced separately under the material section	LF	>1000 LF	7.9	8.25	8.6
19	Saw Cutting, including labor and equipment per linier foot	LF	1-500 LF	NO BID	NO BID	NO BID
20	Saw Cutting, including labor and equipment per linier foot	LF	501-1000 LF	NO BID	NO BID	NO BID
21	Saw Cutting, including labor and equipment per linier foot	LF	>1000 LF	NO BID	NO BID	NO BID
22	Crack Repair (per specifiations)	LF	1-500 LF	NO BID	NO BID	NO BID
23	Crack Repair (per specifiations)	LF	501-1000 LF	NO BID	NO BID	NO BID
24	Crack Repair (per specifiations)	LF	>1000 LF	NO BID	NO BID	NO BID
25	Sealcoating (per specifications)	SF	1-1000 SF	NO BID	NO BID	NO BID
26	Sealcoating (per specifications)	SF	1001-20,000 SF	NO BID	NO BID	NO BID
27	Sealcoating (per specifications)	SF	>20,000 SF	NO BID	NO BID	NO BID
28	Line Marking/Re-Striping per specifications)	LF	1-1000 LF	NO BID	NO BID	NO BID
29	Line Marking/Re-Striping per specifications)	LF	1001-5000 LF	NO BID	NO BID	NO BID
30	Line Marking/Re-Striping per specifications)	LF	>5000 LF	NO BID	NO BID	NO BID
31	Asphalt Cold Patching	SF	minimum 10 SF	NO BID	NO BID	NO BID
	Materials Section	Qty	Unit			
32	Binder Course	1	ton	NO BID	NO BID	NO BID
33	Top Wear Course	1	ton	NO BID	NO BID	NO BID
	Related Non Paving Efforts Listed Below:	Qty	Unit			
34	Ten wheel dump truck 56,000 GVW with operator, per hour on site	1	Hour	95	98	101
35	Excavator with 1 1/4 YD. bucket with operator , per hour on site	1	Hour	148	155	160
36	BackHoe with 1 1/4 YD. bucket with operator per hour on site	1	Hour	125	130	135
37	Labor/Ashphalt Raker, exclusive of other activities requiring labor, per hour on site	1	Hour	80	85	90
38	Labor Supervisor, exclusive of other activities requiring labor, per hour on site	1	Hour	84	89	95
39	Provide discount from manufacturers list price for material and items not mentioned	%		N/A	N/A	N/A



STATE OF RHODE ISLAND AND PROVIDENCE PLANTATIONS

Department of Labor and Training

Center General Complex
1511 Pontiac Avenue
Cranston, RI 02920-4407

Telephone: (401) 462-8000
TTY: Via RI Relay 711

Lincoln D. Chafee
Governor
Charles J. Fogarty
Director

13. Comply with all applicable provisions of RIGL §37-13-1, et. seq;

Any questions or concerns regarding this CONTRACT ADDENDUM should be addressed to the contractor or subcontractor's attorney. Additional Prevailing Wage information may be obtained from the Department of Labor and Training at www.dlt.ri.gov/pw.


CERTIFICATION

I hereby certify that I have reviewed this CONTRACT ADDENDUM and understand my obligations as stated above.

By: RICON Construction, LLC. 

Title: President

Subscribed and sworn before me this 3 day of April, 2017


Notary Public

My commission expires: 2/25/2018

An Equal Opportunity Employer/Program, /Auxiliary aids and services are available upon request to individuals with disabilities.

TTY via RI Relay 711

STATE OF RHODE ISLAND
FORM W-9 PAYER'S REQUEST FOR TAXPAYER
IDENTIFICATION NUMBER AND CERTIFICATION



THE IRS REQUIRES THAT YOU FURNISH YOUR TAXPAYER IDENTIFICATION NUMBER TO US. FAILURE TO PROVIDE THIS INFORMATION CAN RESULT IN A \$50 PENALTY BY THE IRS. IF YOU ARE AN INDIVIDUAL, PLEASE PROVIDE US WITH YOUR SOCIAL SECURITY NUMBER (SSN) IN THE SPACE INDICATED BELOW. IF YOU ARE A COMPANY OR A CORPORATION, PLEASE PROVIDE US WITH YOUR EMPLOYER IDENTIFICATION NUMBER (EIN) WHERE INDICATED.

Taxpayer Identification Number (T.I.N.)

Enter your taxpayer identification number in the appropriate box. For most individuals, this is your social security number.

Social Security No. (SSN)

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Employer ID No. (EIN)

46	4105743
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NAME RICON Construction, LLC.

ADDRESS P.O. Box 8137

CITY, STATE AND ZIP CODE - Cranston, RI 02920

PAYMENT REMITTANCE ADDRESS, IF DIFFERENT FROM THE ADDRESS ABOVE

ADDRESS

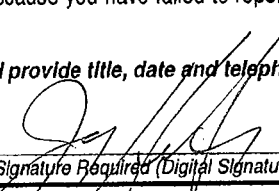
CITY, STATE AND ZIP CODE

CERTIFICATION: Under penalties of perjury, I certify that:

- (1) The number shown on this form is my correct Taxpayer Identification Number (or I am waiting for a number to be issued to me), and
- (2) I am not subject to backup withholding because either: (A) I am exempt from backup withholding, or (B) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (C) the IRS has notified me that I am no longer subject to backup withholding.
- (3) I am a U.S. citizen or other U.S. person (as defined by the IRS).

Certification Instructions -- You must cross out item (2) above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item (2) does not apply.

Please sign here and provide title, date and telephone number:

SIGNATURE  **TITLE** President **DATE** 3/17/17 **TEL NO** (401) 861-1162
Original Signature Required (Digital Signature Not Acceptable)

BUSINESS DESIGNATION:

Please Check One: Individual ☐ Corporation ☐ Trust/Estate ☐ Government/Nonprofit Corporation ☐
Partnership ☐ Medical Services Corporation ☐ Legal Services Corporation ☐
LLC Tax Classification: ☒ Single Member (Individual) ☐ Partnership ☐ Corporation ☒ S Corp.

TIPS:

NAME: Be sure to enter your full and correct legal name as shown on your income tax return for the SSN or EIN provided.

ADDRESS, CITY, STATE AND ZIP CODE: If you operate a business at more than one location, adhere to the following:

- 1) Same EIN with more than one location -- attach a list of location addresses with remittance address for each location and indicate to which location the year-end tax information return should be mailed.
- 2) Different EIN for each different location -- submit a completed W-9 form for each EIN and location. (One year-end tax information return will be reported for each EIN and remittance address.)

Mail Completed Form To:
Supplier Coordinator
Purchasing Department
One Capitol Hill, 2nd Floor
Providence RI 02908

Or Email To: doa.pursuppliercoordinator@purchasing.ri.gov

For State Use Only:

IRS ☐ RI SOS ☐ FED ☐ Other ☐
RI Supplier # Approved
Date Entered Entered By



STATE OF RHODE ISLAND
CONTRACTORS' REGISTRATION
AND LICENSING BOARD

REGISTRATION NO.

EXP. DATE

REGISTRANT'S NAME

RICOR CONSTRUCTION LLC 37601 03/1/13

AUTHORIZED REPRESENTATIVE

JAMES HATHAWAY

RIVER'S LICENSE #

RJ 8413392

EXECUTIVE DIRECTOR

Angie A. Madden